

**APPENDIX:**

**Matrices of Connecticut State Agencies/Entities and  
Their Energy-Related Functions**

(Developed by the La Capra Associates Team)

The following matrices provide two views of Connecticut agencies/entities energy-related functions. For the purposes of this study the state agencies/entities surveyed are those with a role in or effect upon electricity, natural gas, energy efficiency, and renewable energy.

Table 1 provides an overview of each Connecticut agency/entity that has a role in energy. This includes a description of their governing structure, statutory responsibilities, energy-related activities, and funding source. It is intended to provide a snapshot view and is consequently not all-encompassing.

Table 2 consists of three sections, each describing the roles of each agency/entity in connection with the following resource/supply groups:

- (a) “conventional” supply (i.e., large-scale power generation and transmission/distribution systems for power and gas)
- (b) demand-side management (i.e., energy efficiency, demand response, and distributed generation); and,
- (c) renewables/alternative fuels.

Within each resource group, the roles of each agency/entity in “Planning” and “Implementation” are noted. There is also a brief note on how these activities’ may influence price/cost of energy.

For purposes of these matrices, “Planning” and “Implementation” are defined as follows:

“Planning” includes:

- The processes by which the state develops a plan to meet future energy needs; plans often balance reliability, costs, and desired resource attributes.
- The planning process may include: forecast future needs, weigh options to meet the needs, conduct scenario analysis, determine mix and amount of resources needed, plan for gas and power infrastructure.

“Implementation” includes:

- Project Identification: Solicitation, selection of and contracting with resources (generation, transmission, DSM, renewable energy, etc...)
- Siting/Permitting: Process to site and permit resources
- Financing/Incentives: Funding provided to incent development of technology or resource; may be through loans, grants, incentives, and/or contracts

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Table 1: Overview of Connecticut Entities and Functions

Entity/Agency	Governing Structure	Statutory Responsibility	General Description of Energy-Related Activities	Funding Source
Department of Public Utility Control (DPUC)	“The DPUC shall consist of five electors of this state, appointed by the Governor with the advice and consent of both houses of the General Assembly. Not more than three members of said authority in office at any one time shall be members of any one political party.” Conn. Gen. Stat. Sec. 16-2(a)	<p><b>Regulate Rates and Operations:</b> “No public service company may charge rates in excess of those previously approved by the authority or the Department of Public Utility Control” [Conn. Gen. Stat. Sec. 16-19]. “The Department of Public Utility Control shall, so far as is practicable, keep fully informed as to the condition of the plant, equipment and manner of operation of all public service companies in respect to their adequacy and suitability to accomplish the duties imposed upon such companies by law” [Conn. Gen. Stat. Sec. 16-11].</p> <p><b>Balance Interests:</b> In determining the level and structure of rates, to allow public service companies to maintain their financial integrity and provide protection to the public interest. [16-19e]</p> <p><b>Oversee procurement of various energy resources,</b> including standard service supply, peaking facilities, resources to reduce FMCCs, renewable energy resources (Project 150) and <b>implement various programs to encourage new resources,</b> including, for example, aDG grant program and the , energy efficiency partnership program.</p> <p><b>Participate in federal proceedings:</b> The DPUC ...[and the OCC] is “authorized to participate in proceedings before agencies of the federal government and the federal courts on matters affecting utility services rendered or to be rendered in this state.” [Conn. Gen. Stat. Sec. 16-6a]</p>	<ul style="list-style-type: none"> <li>Regulates the state’s electric and gas companies prices and terms and conditions of service</li> <li>Certifies and oversees competitive suppliers of energy services</li> <li>Provide consumers assistance and information</li> <li>Approves and implements Procurement Plan<sup>1</sup></li> <li>Oversees procurement of various resources (standard service supply, green standard offer; peaking facilities; resources to reduce FMCCs; renewable energy resources in Project 150)</li> <li>Administers various programs to encourage new resources, such as a DG grant program; the energy efficiency partnership program; and, clean energy grants for state facilities and business (if bond funds available)</li> <li>Reviews, modifies and approves the annual electric distribution and gas companies’ conservation plans</li> <li>Oversees statewide energy efficiency awareness campaign</li> <li>Reviews the Connecticut Clean Energy Fund strategic plan</li> <li>Administers the RPS program including facility eligibility and supplier compliance</li> <li>Pursuant to statute, conducts various studies and provides reports to legislature</li> <li>Member of the Siting Council and the CEAB.</li> </ul>	Regulated Company Assessment. [Conn. Gen. Stat. Sec. 16-49.]
Connecticut Siting Council (CSC)	For energy matters, the council consists of: (1) The Commissioner of Environmental Protection, or his designee; (2) the chairman, or his designee, of the Public Utilities Control Authority; (3) one designee of the speaker of the House and one designee of the president pro tempore of the Senate; and (4) five members of the public, to be	<p><b>Siting Authority over:</b> [Conn. Gen. Stat. Sec. 16-50i]</p> <ol style="list-style-type: none"> <li>Electric transmission lines 69-kV or above.</li> <li>Fuel transmission lines of 200 PSIG or above.</li> <li>Electric generating or storage facilities excluding emergency generating devices, cogeneration facilities of 25 MW or less, and facilities fueled by renewable energy</li> </ol>	<ul style="list-style-type: none"> <li>Siting authority for certain transmission and generating resources</li> <li>Prepares an annual load and resources forecast report</li> <li>Prepares a lifecycle cost analysis report for overhead and underground transmission line alternatives.</li> <li>In conjunction with DPUC and Coordinating Council of the Department of Homeland Security,</li> </ul>	Funding is generated from two sources: fees and costs attributable to applications received and annual assessments charged to electric utilities (and hazardous waste generators, and telecommunications) providers in

<sup>1</sup> Statute refers to plan as “Procurement Plan,” but is sometimes also referred to as an Integrated Resource Plan (IRP).

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	<p>appointed by the Governor, at least two of whom shall be experienced in the field of ecology, and not more than one of whom shall have affiliation, past or present, with any utility or governmental utility regulatory agency, or with any person owning, operating, controlling, or presently contracting with respect to a facility, a hazardous waste facility as defined in section 22a-115 or ash residue disposal area.[Conn. Gen. Stat. Sec. 16-50j(b)]</p>	<p>sources of 1 MW or less.</p> <p>4. Electric substation or switchyards of 69-kV or above.</p> <p><b>Prepares an annual forecast of loads and resources.</b> The report shall include: (1) A tabulation of estimated peak loads, resources and margins for each year; (2) data on energy use and peak loads for the five preceding calendar years; (3) a list of existing generating facilities in service; (4) a list of scheduled generating facilities for which property has been acquired, for which certificates have been issued and for which certificate applications have been filed; (5) a list of planned generating units at plant locations for which property has been acquired, or at plant locations not yet acquired, that will be needed to provide estimated additional electrical requirements, and the location of such facilities; (6) a list of planned transmission lines on which proposed route reviews are being undertaken or for which certificate applications have already been filed; (7) a description of the steps taken to upgrade existing facilities and to eliminate overhead transmission and distribution lines in accordance with the regulations and standards described in section 16-50t; and (8) for each private power producer having a facility generating more than one megawatt and from whom the person furnishing the report has purchased electricity during the preceding calendar year, a statement including the name, location, size and type of generating facility, the fuel consumed by the facility and the by-product of the consumption. [Conn. Gen. Stat. Sec. 16-50r]</p> <p>Once every five years, prepares a <b>lifecycle life-cycle costs report</b> for both overhead and underground transmission line alternatives. [Conn. Gen. Stat. Sec. 16-50r]</p> <p><b>Statutory Party to Certain Rate Proceedings:</b> “The Commissioner of Environmental Protection, the Commissioner of Economic and Community Development, the Connecticut Siting Council and the Office of Policy and Management shall be made parties to each proceeding on a rate amendment proposed by a gas, electric or electric distribution company based upon an alleged need for increased revenues to finance an expansion of capital equipment and facilities, and shall participate in such proceedings to the extent necessary.” [Conn. Gen. Stat. Sec. 16-19e(d)]</p>	<p>investigates energy security with regard to siting of power plants and transmission facilities</p> <ul style="list-style-type: none"> <li>• Statutory party to rate cases at the DPUC where electric and gas companies allege need for revenue to finance expansion of equipment and facilities.</li> </ul> <p><a href="http://www.ct.gov/csc/cwp/view.asp?a=895&amp;q=248310">http://www.ct.gov/csc/cwp/view.asp?a=895&amp;q=248310</a></p>	<p>Connecticut. [Conn. Gen. Stat. Sec. 16-50g through 16-50aa]</p>
<p>Connecticut Energy Advisory Board</p>	<p>There is established a Connecticut Energy Advisory Board consisting of fifteen members, including the</p>	<p>“The board shall (1) represent the state in regional energy system planning processes conducted by the regional independent system operator encourage representatives from</p>	<ul style="list-style-type: none"> <li>• Represents the state’s interest in regional planning processes at the ISO</li> <li>• Reviews, modifies and approves the electric</li> </ul>	<p>CEAB is funded by electric ratepayers. CEAB budget is</p>

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	<p>Commissioner of Environmental Protection, the chairperson of the Public Utilities Control Authority, the Commissioner of Transportation, the Consumer Counsel, the Commissioner of Agriculture, and the Secretary of the Office of Policy and Management, or their respective designees. The Governor shall appoint a representative of an environmental organization knowledgeable in energy efficiency programs, a representative of a consumer advocacy organization and a representative of a state-wide business association. The president pro tempore of the Senate shall appoint a representative of a chamber of commerce, a representative of a state-wide manufacturing association and a member of the public considered to be an expert in electricity, generation, procurement or conservation programs. The speaker of the House of Representatives shall appoint a representative of low-income ratepayers, a representative of state residents, in general, with expertise in energy issues and a member of the public considered to be an expert in electricity, generation, procurement or conservation programs. [Conn. Gen. Stat. Sec. 16a-3]</p> <p>“The Connecticut Energy Advisory Board shall be within the Office of Policy and Management for administrative purposes only.” [Conn. Gen. Stat. Sec. 16a-3(f)]</p>	<p>the municipalities that are affected by a proposed project of regional significance to participate in regional energy system planning processes conducted by the regional independent system operator; (3) participate in a forecast proceeding conducted pursuant to subsection (a) of section 16-50r; (4) participate in a life-cycle proceeding conducted pursuant to subsection (b) of section 16-50r; and (5) review the procurement plan submitted by the electric distribution companies pursuant to section 16a-3a” [Conn. Gen. Stat. Sec. 16a-3b]</p> <p>“The Connecticut Energy Advisory Board shall issue a request for proposal to seek alternative solutions to the need that will be addressed by the proposed facility” (i.e., certain energy facilities including transmission and generation that seek a certificate of need from the Siting Council) and forward a comparative analysis to the Siting Council. [Conn. Gen. Stat. Sec. 16a-7c(b) – (f)]</p> <p>“The board may issue a request for proposal for solutions to a need for new energy resources, new energy transmission facilities in the state, and new energy conservation initiatives in the state identified in regional energy system planning processes conducted by the regional independent system operator” [Conn. Gen. Stat. Sec. 16a-7c(e)].</p> <p>“The Connecticut Energy Advisory Board shall develop infrastructure criteria guidelines for the evaluation process under subsection (f) of section 16a-7c, (RFP ABOVE) which guidelines shall be consistent with state environmental policy, state economic development policy, and the state's policy regarding the restructuring of the electric industry.” [Conn. Gen. Stat. Sec. 16a-7b(a)]</p> <p>Annually, approve or modify and approve OPM’s strategic plan to improve the management of energy use in state facilities and measure the success of the implementation of said plan and determine any actual financial benefits that have been derived by the overall electric system, including, but not limited to, state facilities. [Conn. Gen. Stat. Sec. 16a-38l(b)].</p> <p>Various one-time studies.</p>	<p>distribution companies’ annual Procurement Plan</p> <ul style="list-style-type: none"> <li>• Participates in the Siting Council’s load forecast proceeding and lifecycle proceeding</li> <li>• Issue requests for RFPs for energy resources on its own motion based on needs identified in regional system planning or in response to a facilities’ request for a certificate of need from the Siting Council; establishes the preferential criteria on which such RFP responses are evaluated</li> <li>• Conducts miscellaneous studies as directed by statute</li> </ul>	<p>approved by the DPUC annually.<sup>2</sup></p>

<sup>2</sup> “The board shall annually submit to the Department of Public Utility Control a proposal regarding the level of funding required for the discharge of its duties, which proposal shall be approved by the department either as submitted or as modified by the department.” Conn. Gen. Stat. Sec. 16a-3(e)

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Office of Consumer Counsel (OCC)	Appointed by Governor  Within DPUC for administrative purposes only	<p><b>Represents Ratepayers in all DPUC Proceedings:</b> “There shall continue to be an independent Office of Consumer Counsel, within the Department of Public Utility Control for administrative purposes only, to act as the advocate for consumer interests in all matters which may affect Connecticut consumers with respect to public service companies, electric suppliers and certified telecommunications providers. The Office of Consumer Counsel is authorized to appear in and participate in any regulatory or judicial proceedings, federal or state, in which such interests of Connecticut consumers may be involved, or in which matters affecting utility services rendered or to be rendered in this state may be involved. The Office of Consumer Counsel shall be a party to each contested case before the Department of Public Utility Control and shall participate in such proceedings to the extent it deems necessary. Said Office of Consumer Counsel may appeal from a decision, order or authorization in any such state regulatory proceeding notwithstanding its failure to appear or participate in said proceeding” [Conn. Gen. Stat. Sec. 16-2a. ]</p> <p><b>Participate in Federal Proceedings:</b>[The DPUC] and ...the Office of Consumer Counsel are “authorized to participate in proceedings before agencies of the federal government and the federal courts on matters affecting utility services rendered or to be rendered in this state.” [Conn. Gen. Stat. Sec. 16-6a]</p>	<ul style="list-style-type: none"> <li>• Statutory representative of consumer interests in every matter before the DPUC</li> <li>• Authority to participate in proceedings before federal agencies and courts relative to utility services provided in Connecticut.</li> <li>• Member of ECMB, CCEF Board, and CEAB.</li> </ul>	Regulated Company Assessment. [Conn. Gen. Stat. Sec. 16-49].
Connecticut Clean Energy Fund/CII (CCEF)	The Renewable Energy Investments Board (referred to as the Connecticut Clean Energy Fund) shall include not more than fifteen individuals with knowledge and experience in matters related to the purpose and activities of the Renewable Energy Investment Fund. <sup>3</sup> Eleven members are appointed by the various leadership of the Senate and House of	The CCEF “may use any amount in said fund for expenditures that promote investment in renewable energy sources in accordance with a comprehensive plan developed by it to foster the growth, development and commercialization of renewable energy sources, related enterprises and stimulate demand for renewable energy and deployment of renewable energy sources that serve end use customers in this state and for the further purpose of supporting operational demonstration projects for advanced technologies that reduce energy use from traditional sources. Such expenditures may include, but not be limited to, reimbursement for services provided by the administrator of the	<ul style="list-style-type: none"> <li>• Develops, invests in and promotes clean energy sources for sustainable energy for the benefit of Connecticut ratepayers. Programs include, for example: DG grant program; solar PV rebate program; CT Clean Energy Communities Program; Project 150. <a href="http://www.ctcleanenergy.com/about/mission.php">http://www.ctcleanenergy.com/about/mission.php</a></li> <li>• In addition to administering programs with funds available by statute, authorized to fund renewable energy and efficient energy generation/CHP projects</li> </ul>	Funded by a customers charge on utility bills: “The DPUC shall assess or cause to be assessed a charge of not less than one mill per kilowatt hour charged to each end use customer of electric services in this state which shall be deposited into the

<sup>3</sup> The Renewable Energy Investments Board (CCEF) shall include not more than fifteen individuals with knowledge and experience in matters related to the purpose and activities of the Renewable Energy Investment Fund. The board shall consist of the following members: (1) One person with expertise regarding renewable energy resources appointed by the speaker of the House of Representatives; (2) one person representing a state or regional organization primarily concerned with environmental protection appointed by the president pro tempore of the Senate; (3) one person with experience in business or commercial investments appointed by the majority leader of the House of Representatives; (4) one person representing a state or regional organization primarily concerned with environmental protection appointed by the majority leader of the Senate; (5) one person with experience in business or commercial investments appointed by the minority leader of the House of Representatives; (6) the Commissioner of Emergency Management and Homeland Security or the commissioner's designee; (7) one person with expertise regarding renewable energy resources appointed by the Governor; (8) two persons with experience in business or commercial investments appointed by the board of directors of Connecticut Innovations, Incorporated; (9) a representative of a state-wide business association, manufacturing association or chamber of commerce appointed by the minority leader of the Senate; (10) the Consumer Counsel; (11) the Secretary of the Office of Policy and Management or the secretary's designee; (12) the Commissioner of Environmental Protection or the commissioner's designee; (13) a representative of organized labor appointed by the Governor; and (14) a representative of residential customers or low-income customers appointed by Governor. [Conn. Gen. Stat. Sec. 16-245n(e)]

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	<p>Representatives, the Governor, and the Connecticut Innovation Inc. The remaining members include the Commissioner of Emergency Management and Homeland Security; the Consumer Counsel; the Secretary of the Office of Policy and Management; the Commissioner of Environmental Protection.</p> <p>CCEF is within Connecticut Innovations Inc. for administrative purposes. [Conn. Gen. Stat. Sec. 16-245n(c)]</p>	<p>fund including a management fee, disbursements from the fund to develop and carry out the plan developed pursuant to subsection (d) of this section, grants, direct or equity investments, contracts or other actions which support research, development, manufacture, commercialization, deployment and installation of renewable energy technologies, and actions which expand the expertise of individuals, businesses and lending institutions with regard to renewable energy technologies.” [Conn. Gen. Stat. Sec. 16-245n(c)]</p> <p>“The (CCEF) board shall issue annually a report to the Department of Public Utility Control reviewing the activities of the Renewable Energy Investment Fund in detail” [Conn. Gen. Stat. Sec. 16-245n(f).]</p> <p>CCEF must participate in a “joint committee” with the Energy Conservation Management Board. [Conn. Gen. Stat. Sec. 16-245n(g).]</p>	<p>in state buildings and for municipalities if bond funds are made available.</p> <ul style="list-style-type: none"> <li>Participates in a joint committee with ECMB</li> </ul>	<p>Renewable Energy Investment Fund” (aka CCEF) [Conn. Gen. Stat. Sec. 16-245n(b)] May also receive federal funds.</p> <p>CCEF also receives alternative compliance payments (ACP) from electric distribution companies and competitive suppliers who do not meet RPS requirements.</p>
<p>Energy Conservation &amp; Management Board (ECMB)</p>	<p>The Department of Public Utility Control shall appoint and convene an Energy Conservation Management Board<sup>4</sup> which shall include representatives of: (1) An environmental group; (2) the Office of Consumer Counsel; (3) the Attorney General; (4) the Department of Environmental Protection; (5) the electric distribution companies; (6) a state-wide manufacturing association; (7) a chamber of commerce; (8) a state-wide business association; (9) a state-wide retail organization; (10) a representative of a municipal</p>	<p><b>Electric Conservation Plan:</b> “The Energy Conservation Management Board shall advise and assist the electric distribution companies in the development and implementation of a comprehensive plan, which plan shall be approved by the Department of Public Utility Control, to implement cost-effective energy conservation programs and market transformation initiatives”...</p> <p>“Each program contained in the plan shall be reviewed by the electric distribution company and either accepted or rejected by the Energy Conservation Management Board prior to submission to the department for approval.” [Conn. Gen. Stat. Sec. 16-245m(d)(1)]</p> <p><b>Gas Conservation Plan:</b> “The Energy Conservation Management Board shall advise and assist each such gas company in the development and implementation of the</p>	<p>“The Mission of the Energy Conservation Management Board (ECMB) is to advise and assist the utility distribution companies in the development and implementation of comprehensive and cost-effective energy conservation and market transformation plans.”</p> <p><a href="http://www.ctsavesenergy.org/ecmb/">www.ctsavesenergy.org/ecmb/</a></p> <ul style="list-style-type: none"> <li>Assesses the state’s conservation and energy efficiency potential</li> <li>Recommends technologies eligible for the energy efficiency partnership program</li> <li>Pursuant to statute, conducts various studies</li> <li>Participates in joint committee with CCEF</li> </ul>	<p>Energy efficiency fund funded through utility bill surcharge of three mills per kilowatt hour as set by statute.<sup>5</sup></p> <p>Natural Gas company conservation plans are funded by the growth in the utilities gross receipts tax in each fiscal year over the amount contained in the revenue estimate in the adopted state budget for that year, subject to a</p>

<sup>4</sup> The Department of Public Utility Control shall appoint and convene an Energy Conservation Management Board which shall include representatives of: (1) An environmental group knowledgeable in energy conservation program collaboratives; (2) the Office of Consumer Counsel; (3) the Attorney General; (4) the Department of Environmental Protection; (5) the electric distribution companies in whose territories the activities take place for such programs; (6) a state-wide manufacturing association; (7) a chamber of commerce; (8) a state-wide business association; (9) a state-wide retail organization; (10) a representative of a municipal electric energy cooperative created pursuant to chapter 101a; (11) two representatives selected by the gas companies in this state; and (12) residential customers. Such members shall serve for a period of five years and may be reappointed. Representatives of the gas companies shall not vote on matters unrelated to gas conservation. Representatives of the electric distribution companies and the municipal electric energy cooperative shall not vote on matters unrelated to electricity conservation. [Conn. Gen. Stat. Sec. 16-245m(c)]

<sup>5</sup> “On and after January 1, 2000, the Department of Public Utility Control shall assess or cause to be assessed a charge of three mills per kilowatt hour of electricity sold to each end use customer of an electric distribution company to be used to implement the program as provided in this section for conservation and load management programs but not for the amortization of costs incurred prior to July 1, 1997, for such conservation and load management programs.” [Conn. Gen. Stat. Sec. 16-245m]

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	<p>electric energy cooperative; (11) two representatives selected by the gas companies; and (12) residential customers.</p> <p>Such members shall serve for a period of five years and may be reappointed.</p>	<p>(conservation) plan” [Conn. Gen. Stat. Sec. 16-32f(c)].</p>		<p>\$10 million per year cap.<sup>6</sup></p>
<p>Office of Policy &amp; Management (OPM)</p>	<p>Secretary is appointed by the Governor</p>	<p><b>Related to Energy:</b></p> <p>“In addition to the duties set forth in any other law, the Secretary of the Office of Policy and Management may: (1) Be designated as the state official to implement and execute any federal program, law, order, rule or regulation related to the allocation, rationing, conservation, distribution or consumption of energy resources, (2) investigate any complaint concerning the violation of any federal or state statute, rule, regulation or order pertaining to pricing, allocation, rationing, conservation, distribution or consumption of energy resources and shall transmit any evidence gathered by such investigation to the proper federal or state authorities, (3) coordinate all state and local government programs for the allocation, rationing, conservation, distribution and consumption of energy resources, (4) cooperate with the appropriate authorities of the United States government, or other state or interstate agencies with respect to allocation, rationing, conservation, distribution and consumption of energy resources, (5) conduct programs of public education regarding energy conservation, (6) carry out a program of studies, hearings, inquiries, surveys and analyses necessary to carry out the purposes of this chapter and sections 4-124c, 4-124i, 4-124l, 4-124p, 8-3b, 8-31a, 8-32a, 8-33a, 8-35a, 8-37a and 8-189, subsection (b) of section 8-206 and sections 16a-20, 16a-102, 22a-352 and 22a-353, provided if an individual or business furnishing commercial or financial information concerning such individual or business requests in writing at the time such information is furnished that it be treated as confidential proprietary information, such information, to the extent that it is limited to (A) volume of sales, shipments, receipts and exchanges of energy resources, (B) inventories of energy resources, and (C) local distribution patterns of energy resources, shall be exempt from the provisions of subsection (a) of section 1-210, (7) enter into contracts with any person to do all things necessary or convenient to carry out the functions, powers and duties of the secretary and the Office of Policy and Management under this chapter and sections 4-5, 4-124l, 4-124p, 8-3b, 8-32a, 8-33a, 8-</p>	<p>“The Energy Management Unit provides general oversight regarding energy usage and management of energy costs in State facilities. In addition, the staff provides analytical support regarding energy market activities and issues. The Energy Management Unit also oversees the implementation of federally-funded energy programs in Connecticut.”</p> <p><a href="http://www.ct.gov/opm/cwp/view.asp?a=2994&amp;Q=386250&amp;opmNav_GID=1808">http://www.ct.gov/opm/cwp/view.asp?a=2994&amp;Q=386250&amp;opmNav_GID=1808</a></p> <ul style="list-style-type: none"> <li>• Advises the Governor’s office on energy policy</li> <li>• Manages energy use in state facilities and procures electricity, natural gas and other energy resources for state facilities (in some areas, with DAS)</li> <li>• With DPW, adopts an annual strategic plan to improve management of energy use in state facilities</li> <li>• Is a member of the Connecticut Clean Energy Fund Board and the CEAB.</li> <li>• Is a statutory party to rate cases at the DPUC where electric and gas companies alleged need for revenue to finance expansion of equipment and facilities.</li> <li>• Provides administrative support to the Low-Income Energy Advisory Board. The charge of the Board is to advise and assist the Office of Policy and Management and the Department of Social Services in the planning, development, implementation and coordination of energy assistance and weatherization assistance programs and policies.</li> <li>• Is a public resource of information on energy prices and fuel supply</li> </ul>	<p>State Budget Appropriation</p>

<sup>6</sup> The money goes into an ECMB account, which is used to reimburse gas companies for their conservation expenditures.

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		<p>35a and 8-189, subsection (b) of section 8-206 and sections 16a-20, 16a-102, 22a-352 and 22a-353, (8) adopt regulations, in accordance with chapter 54, to establish standards for solar energy systems, including experimental systems, which offer practical alternatives to the use of conventional energy with regard to current technological feasibility and the climate of this state, and (9) undertake such other duties and responsibilities as may be delegated by other state statutes or by the Governor.” [Conn. Gen. Stat. Sec. 16a-14].</p> <p><b>Adopts Standards:</b> In consultation with the DPUC, adopts minimum energy efficiency standards for certain commercial equipment. [Conn. Gen. Stat. Sec. 16a-48].</p> <p>In consultation with DEP, DPW and Public Safety, adopts green building standards for certain new construction [Conn. Gen. Stat. Sec. 16a-38k]</p> <p><b>Statutory Party in Certain DPUC Proceedings:</b> “The Commissioner of Environmental Protection, the Commissioner of Economic and Community Development, the Connecticut Siting Council and the Office of Policy and Management shall be made parties to each proceeding on a rate amendment proposed by a gas, electric or electric distribution company based upon an alleged need for increased revenues to finance an expansion of capital equipment and facilities, and shall participate in such proceedings to the extent necessary.” [Conn. Gen. Stat. Sec. 16-19e(d)]</p>	<ul style="list-style-type: none"> <li>• Provides rebates to certain residential customers for efficient natural gas furnaces or boilers</li> <li>• Adopts certain green building standards for certain new construction in consultation with DEP, DPW and Public Safety</li> <li>• Adopts regulations to establish minimum energy efficiency standards for the types of new products in consultation with the DPUC</li> <li>• When bond funds available, consults together with CCEF on DPUC’s implementation of clean energy fund grants for state facilities and businesses</li> </ul>	
Department of Environmental Protection (DEP)	The department is an administrative agency of the executive branch of state government. It is established by statute and has those authorities conferred to it by statute and those delegated to it by the federal government.	Department of Environmental Protection which shall have jurisdiction over all matters relating to the preservation and protection of the air, water and other natural resources of the state. (Title 22a; 22a-2, 22a-5)	<p>Air: The Department is responsible for developing the state implementation plan (SIP) through which the State demonstrates how it will meet federally established health based air quality standards. The SIP addresses all contributing sources of specified pollutants. Under both federal and state authorities DEP sets air emission standards from sources including power generating facilities and regulates those facilities through permitting to ensure compliance with applicable standards. Standards generally affect acceptable fuel types, operation, and location (distance from sensitive receptors) of facilities.</p> <p>Led establishment and management of climate change action plan; charged with developing regulations to implement the Regional Greenhouse Gas Initiative (RGGI); administering the emission allowances auction and investing the proceeds in energy conservation, load management and Class I renewable energy programs. (22a-200c)</p> <p>(Chapter 446c, Secs. 22a-170 to 22a-206; Federal Clean</p>	Federal grants, state budget, permit/license fees

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Entity/Agency	Governing Structure	Statutory Responsibility	General Description of Energy-Related Activities	Funding Source
			<p>Air Act)</p> <p>Water and Related Resources: Regulates diversions of, intake from and discharge to state waters; regulates structures in tidal, coastal and navigable waters; regulates dams. Authorities generally affect location and operational aspects of generating facilities; can affect location of transmission facilities; significant impact on location and operation of hydropower facilities.</p> <p>(Chapters 446i, 446j, 446k; federal Clean Water Act)</p> <p>Waste/Materials Management: Statewide solid waste management plan definitions of solid waste, establishment of acceptable waste streams and recycling strategies may affect availability of “indigenous” resources for use as fuel (22a-228); (current exemption of defined wood fuel from regulation as solid waste 22a-209a). Regulation of resource recovery facilities.</p> <p>Participates in other energy related functions through seats on ECMB, CSC, CEAB, CCEF.</p>	
<p>Department of Administrative Services (DAS)</p>	<p>The department is an administrative agency of the executive branch of state government. It is established by statute and has those authorities conferred to it by statute.</p>	<p>The Commissioner of Administrative Services shall: (1) Purchase, lease or contract for all supplies, materials, equipment and contractual services required by any state agency, except as provided in sections 4-98 and 4a-57; (2) enforce standard specifications established in accordance with section 4a-56.</p>	<p>Through its procurement and performance setting responsibilities DAS implements the states energy use, conservation and management strategies.</p> <p>Administrative Services shall procure equipment and appliances for state use that meet or exceed the federal energy conservation standards set forth in the Energy Policy and Conservation Act, 42 USC 6295, any federal regulations adopted thereunder, any applicable energy performance standards established (by DAS with OPM) in accordance with subsection (j) of section 16a-38 and meet or exceed the federal Energy Star standards. Purchases of equipment and appliances for which energy performance standards have been established pursuant to subsection (j) of section 16a-38 shall be (1) made from among those specific models of equipment and appliances which meet such standards, and (2) based, when possible, on competitive bids. Such bids shall be evaluated on the basis of the life-cycle cost standards, if any, established pursuant to subsection (b) of section 16a-38.</p>	<p>State budget</p>
<p>Department of Public Works (DPW)</p>	<p>The department is an administrative agency of the executive branch of state government. It is established by statute and has those authorities conferred to it by statute</p>	<p>DPW is responsible for the administrative functions of construction and planning of all capital improvements undertaken by the state; has charge and supervision of the remodeling, alteration, repair or enlargement of any real asset</p>	<p>Through its capital improvement construction, planning and performance setting responsibilities DPW implements the states energy use, conservation and management strategies. Specifically;</p> <p>DPW, with OPM establishes and publishes, and applies standard for life-cycle cost analyses required for buildings</p>	<p>State budget/bond appropriations; accountable to governor, legislature, state bond commission, “client” agencies.</p>

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Entity/Agency	Governing Structure	Statutory Responsibility	General Description of Energy-Related Activities	Funding Source
			<p>owned or leased by the state (16a-38);</p> <p>Conducts energy audits of all building owned by the state, recommends to OPM cost-effective retrofit measures, with OPM develops and publishes guidelines applicable to state agencies for an energy efficiency maintenance program (16a-38a)</p> <p>May not execute new leases of buildings for state use without an energy audit and shall give preference to buildings which meet energy performance stands (16a-38h)</p> <p>Establish a process for calculating the average energy use per square foot in state buildings (16a-38i)</p> <p>Establish criteria to be used by state agencies in selecting equipment for use in state buildings which includes a life-cycle cost analysis (16a-38j)</p> <p>Implement building construction standards for new construction of state facilities to comply with the silver building rating of the Leadership in Energy and Environmental Design’s rating system or an equivalent standard or per regulations to be developed (16a-38k)</p> <p>Consult with OPM regarding management of energy use in state buildings (16a-38l)</p> <p>Establish lighting standards for all public buildings that provide for the maximum feasible energy efficiency (16a-39)</p>	
<p>Department of Transportation (DOT)</p>	<p>The department is an administrative agency of the executive branch of state government. It is established by statute and has those authorities conferred to it by statute.</p>	<p>Department of Transportation shall be responsible for all aspects of the planning, development, maintenance and improvement of transportation in the state. The commissioner shall have the following general powers, duties and responsibilities:</p> <p>To coordinate and develop comprehensive, integrated transportation policy and planning to include a long-range master plan of transportation for the state;</p> <p>To coordinate and assist in the development and operation of a modern, safe, efficient and energy-conserving system of highway, mass transit, marine and aviation facilities and services</p> <p>(13b- 4)</p>	<p>The commissioner shall develop and revise biennially a comprehensive, long-range, master transportation plan designed to fulfill the present and future needs of the state and to assure the development and maintenance of an adequate, safe and efficient transportation system. In such plan the commissioner shall consider, among other things, federal air quality standards, conservation and cost of energy supplies, present and projected travel volumes, reduction in travel volumes due to the implementation of transportation management programs, safety, maintenance costs and other sufficiency factors where appropriate, as well as long-range land use, environmental impact, energy impact and economic development patterns of the state; (13b-15 (b)(2))</p>	<p>State budget/ bond appropriations, federal funds; accountable to governor, legislature, USDOT/FHWA</p>

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Entity/Agency	Governing Structure	Statutory Responsibility	General Description of Energy-Related Activities	Funding Source
Department of Economic and Community Development (DECD)	The department is an administrative agency of the executive branch of state government. It is established by statute and has those authorities conferred to it by statute.	to encourage and promote the development of industry and business in the state and to investigate, study and undertake ways and means of promoting and encouraging the prosperous development and protection of the legitimate interest and welfare of Connecticut business, industry and commerce, within and outside the state; (5) to serve, ex officio as a director on the board of Connecticut Innovations, Incorporated; (6) to serve as a member of the Committee of Concern for Connecticut Jobs; (7) to promote and encourage the location and development of new business in the state as well as the maintenance and expansion of existing business and for that purpose to cooperate with state and local agencies and individuals both within and outside the state; (32-1c)	Establish and publish energy performance standards for buildings constructed as part of state-owned and state-financed housing projects and establish standards for life-cycle cost analyses for such projects (16a-38(f))  The commissioner, acting on behalf of the state, may in his discretion make loans or deferred loans to residents of this state for the purchase and installation in residential structures of insulation, alternative energy devices, energy conservation materials and replacement furnaces and boilers, approved in accordance with regulations to be adopted by the Secretary of the Office of Policy and Management (16a-40b)	State budget

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**Table 2a: Detailed Functional Role – Conventional Supply (Electric Generation, Transmission and Distribution of Electric and Natural Gas)**

Entity/Agency	Planning <sup>7</sup>	Implementation			Price/Cost Influence <sup>8</sup>
		Project Identification	Siting/Permitting	Financing/ Incentives	
Department of Public Utility Control (DPUC)	<p>Reviews and approves annual Procurement Plan</p> <p>Participates in ISO-NE planning process</p> <p>Participates in federal agency or court processes</p> <p>Reviews natural gas companies' loads and resources forecast</p> <p>Sets service quality standards</p> <p>In advance of various competitive solicitations for energy resources, identifies need to be met and selection criteria.</p>	<p>Oversees procurement of electric power supply including standard service, last resort service and green supply option<sup>9</sup></p> <p>Procures various resources through competitive bid processes, including, for example, resources to reduce FMCCs and peaking resources on a cost of service basis</p> <p>Implements RFP for generating resources identified in annual Procurement Plan</p>	Member of Siting Council	Direct utilities to sign long-term contracts with certain resources procured through RFP process.	<p>Sets rates in electric and natural gas tariffs (natural gas supply purchased by natural gas companies and costs pass through to customers through purchased gas adjustment charge)</p> <p>Approves electric distribution companies' standard service supply and last resort service</p> <p>Approves distribution rates</p> <p>Level and location of generation resources procured intended to lower overall costs; contract costs collected from ratepayers</p>
Connecticut Siting Council (CSC)	Prepare annual loads and forecast review	-	Authorized to issue certificate of need for certain conventional resources or issue a declaratory ruling determining a certificate is not needed	-	Conditions imposed in siting approval may influence cost
Connecticut Energy Advisory Board (CEAB)	<p>Reviews and approves or review, modify and approve the electric distribution companies' annual Procurement Plan [16a-3a]</p> <p>Participates in ISO-NE planning processes</p> <p>Participates in Siting Council Load Forecast Proceeding</p>	May issue RFPs to solicit projects to meet energy need identified by certain projects that seek siting council certification (reactive) or to address a need identified in a regional system plan (proactive). [16a-7c]. The result of such RFP is a report to the CSC.	Following RFP, applies preferential criteria to proposed projects and forwards report analyzing responses to CSC for its consideration.	-	Procurement Plan, as finally approved by DPUC, may influence prices
Office of Consumer Counsel (OCC)	Participates in annual Procurement Plan through CEAB membership	Statutory participant in electric distribution companies' standard service supply procurement proceedings		-	-

<sup>7</sup> Planning is defined as the processes by which the state develops a plan to meet future energy needs while balancing reliability, costs, and desired resources attributes. The planning process may include, for example, forecasting future needs; weighing options to meet needs; conducting scenario analysis; determining the mix and amount of resources needed, and planning for gas and power infrastructure.

<sup>8</sup> Overall prices paid in Connecticut are influenced by a variety of factors, including, for example, the operation of the wholesale markets, natural gas prices and other factors outside states' direct control.

<sup>9</sup> Natural gas is procured by the local natural gas companies.

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Entity/Agency	Planning <sup>7</sup>	Implementation			Price/Cost Influence <sup>8</sup>
		Project Identification	Siting/Permitting	Financing/ Incentives	
Connecticut Clean Energy Fund (CCEF)	-	-	-	-	-
Energy Conservation Management Board (ECMB)		-	-	-	Conservation measures implemented pursuant to the annual conservation plan may influence need for and costs associated with, conventional resources
Office of Policy and Management (OPM)	Participates in annual Procurement Plan through membership on CEAB	Procures generation supply for use in state facilities	-	-	
Department of Environmental Protection (DEP)	Participates in annual Procurement Plan through membership on CEAB <i>Indirect through air quality planning. (NOx Sox, PM, CO2, Hg limit traditional generation, drive fuel types and maximization of DSM. (CAA)</i>	-	Sets standards and issues authorizations for air emissions, water discharges, water diversions; regulates materials management, and activities that may impact wetlands/watercourses; (Title 22a);  Member of Siting Council	-	-
Department of Administrative Services	-	-	-	-	-
Department of Public Works	-	-	-	-	-
Department of Transportation	-	-	-	-	-
Department of Economic and Community Development	-	-	-	-	-

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**Table 2b: Detailed Functional Role—Demand Side Resources (Energy Efficiency, Demand Response, Distributed Generation)**

Entity/Agency	Planning	Implementation			Pricing/Cost to Ratepayers
		Project Identification	Siting/Permitting	Financing/ Incentives	
Department of Public Utility Control (DPUC)	<p>Reviews and approves annual Procurement Plan</p> <p>Decides timing and issues associated with deployment of advanced metering</p> <p>In advance of various competitive solicitations for energy resources, identifies need to be met and selection criteria.</p>	<p>Oversees demand side resource acquisition by electric distribution companies identified in annual Procurement Plan</p> <p>Reviews, approves, modifies as appropriate and adopts annual electric and gas companies' conservation plans within statutory spending limits.</p> <p>Procures demand response resources to reduce FMCCs (short and long term measures)</p> <p>Authorizes additional energy efficiency spending through FMCCs and energy efficiency partnership program</p> <p>With respect to certain investments in resources, requires benefits to exceed costs.</p> <p>Requires project to qualify for enrollment in ISO-NE Demand Response Programs.</p>	Member of Siting Council	<p>Administers DG grant and financing program</p> <p>Administers energy efficiency partnership program</p> <p>Establishes standby rates (including waivers of standby rates and natural gas transportation rates) for DG resources that reduce FMCCs</p> <p>Adopts rate design to encourage reduced usage</p> <p>Approves resource eligibility and administers Class III RPS (conservation and CHP)</p> <p>Direct utilities to sign short and long-term contracts with certain resources procured through Energy Independence Act and Procurement Plan.</p>	<p>Approved conservation plan measures influence costs and possibly prices</p> <p>Level of authorized spending on electric conservation measures through FMCCs or the energy efficiency partnership program may influence prices</p> <p>Authorized funding of gas conservation measures through rates may influence prices</p>
Connecticut Siting Council (CSC)	-	-	Authorizes siting of DG (except emergency generators) above a certain size threshold	-	-
Connecticut Energy Advisory Board (CEAB)	<p>Reviews, modifies as appropriate and approves electric distribution companies' Procurement Plan</p> <p>Reviews and modifies as appropriate, OPM's annual strategic plan to improve management of energy use in state facilities</p> <p>Conduct studies on electric conservation programs per statute. [07-242 Sec. 59]</p>	<p>May issue RFP to solicit projects to meet energy need identified by certain projects that seek siting council certification (reactive) or to address a need identified in a regional system plan (proactive). [16a-7c]</p>	<p>Following RFP, applies preferential criteria to proposed projects and forwards report analyzing responses to CSC for its consideration.</p>	-	

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Entity/Agency	Planning	Implementation			Pricing/Cost to Ratepayers
		Project Identification	Siting/Permitting	Financing/ Incentives	
Office of Consumer Counsel (OCC)	Participates in annual Procurement Plan through CEAB membership	Participates in annual electric and gas conservation plan within statutory spending limits through membership on ECMB	-	-	-
Connecticut Clean Energy Fund (CCEF)		Participates in joint committee with ECMB	-	Requires certain clean energy fund grant recipients to have energy audit and implement efficiency measures  Authorized to fund the net project costs, or the balance of any projects after applying any public or private financial incentives available, for any renewable energy or combined heat and power projects in state buildings if bond funds available. [16a-38p]	-
Energy Conservation and Management Fund (ECMB)	Periodically assesses the state's conservation and energy efficiency potential	Advises and assists the electric distribution and gas companies in development and implementation of annual conservation plans within statutory spending limits.  Through the annual electric conservation plan reviewed by the ECMB, electric distribution companies implement demand-side measures (energy efficiency, load management, demand response, combined heat and power facilities, distributed generation and other emerging energy technologies) specified in the annual Procurement Plan.	-		Cost effectiveness of approved resources influence costs and possibly prices
Office of Policy and Management (OPM)	Participates in annual Procurement Plan through CEAB membership  With DPW, develops a strategic plan to improve management of energy use in state facilities  Adopts certain green building standards for certain new construction in consultation with DEP, DPW and	With DPW, implement strategic plan to improve management of energy use in state facilities	-	Provides rebates to certain residential customers for efficient natural gas furnaces or boilers	May exempt certain state facilities from complying with green building standards if costs outweigh benefits  Green building and energy efficiency standards influence demand and

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Entity/Agency	Planning	Implementation			Pricing/Cost to Ratepayers
		Project Identification	Siting/Permitting	Financing/ Incentives	
	Public Safety Adopts regulations to establish minimum energy efficiency standards for the types of new commercial products				prices Oversees implementation of federally-funded energy programs (energy assistance, weatherization, etc)
Department of Environmental Protection (DEP)	Participates in annual Procurement Plan through CEAB membership <i>Indirect through air quality planning. (NOx and SOx, CO2, Hg, PM)s limit traditional generation, drive fuel types and maximization of DSM. (CAA)</i>	Participates in electric and gas conservation plans within statutory limits through membership on ECMB.	Regulatory authority over distributed generation for emissions/discharges, materials management, wetlands/watercourses (Title 22a);  Member of Siting Council	Pass through of RGGI proceeds to implementing agencies and utilities.	
Department of Administrative Services		Procurement of equipment and appliances for state use that meet or exceed the federal energy conservation standards			
Department of Public Works	Sets and applies standards for life cycle cost analyses for state buildings; implements building construction standards for new construction of state facilities to comply with silver building rating of the LEED or per regulations to be developed; consult with OPM re: management of energy use instate buildings; establish lighting standards for all public buildings			Proceeds of the sale of bonds authorized per 16a-38m(a) shall be used by DPW to fund the net project costs for any energy services project that results in increased efficiency measures in state buildings per	
Department of Transportation	Consider conservation and cost of energy supplies as well as long-range energy impact in its comprehensive master transportation plan				
Department of Economic and Community Development	Establish and publish energy performance standards for buildings constructed as part of state-owned and state financed housing projects			Make loans to residents of the state for the purchase and installation in of insulation, alternative energy devices, energy conservation materials and replacement furnaces and boilers	

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Table 2c: Detailed Functional Role—Renewables and Alternative Fuels

Entity/Agency	Planning	Implementation			Pricing/Cost to Ratepayers
		Project Identification	Siting/Permitting	Financing/ Incentives	
Department of Public Utility Control (DPUC)	Reviews and approves annual Procurement Plan  In advance of various competitive solicitations for energy resources, identifies need to be met and selection criteria.	Reviews CCEF’s annual strategic plan for spending within statutory limits  Oversees procurement of green standard service supply alternative  Oversees procurement of identified resources in annual Procurement Plan, which may include renewable resources  Selects renewable projects for long term contracts in Project 150	Member of Siting Council	In consultation with CCEF and OPM, authorized to administer grants for Class I renewable resources at state facilities and businesses when bond funds available [16a-38n]  Administers energy efficiency partnership program which may include renewable resources  Approves qualification of facilities as Class I, II renewable resources  Direct utilities to sign long-term contracts with certain resources procured through RFP process. For example, Project 150.	Reviews and approves RPS alternative compliance payments transmitted from suppliers and electric distribution companies to CCEF  Projects approved in Project 150 influences price.  Level of approved spending in energy efficiency partnership program influences prices
Connecticut Siting Council (CSC)	-	-	Issues certificates of need or declaratory ruling that no certificate is needed, as appropriate	-	Siting conditions may influence cost
Connecticut Energy Advisory Board (CEAB)	In consultation with regional independent system operator, reviews and approves or review, modify and approve Procurement Plan prepared by the electric distribution companies (16a-3a)	May issue RFP to solicit projects to meet energy need identified by certain projects that seek siting council certification (reactive) or to address a need identified in a regional system plan (proactive). [16a-7c]	Following RFP, applies preferential criteria to proposed projects and forwards report analyzing responses to CSC for its consideration..	-	-
Office of Consumer Counsel (OCC)	Participates in annual Procurement Plan through CEAB membership	Participates in CCEF annual strategic plan for spending within statutory limits through CCEF Board membership.	-	-	-
Department of Environmental Protection (DEP)	Participates in annual Procurement Plan through membership on CEAB  <i>Indirect through air quality planning. (NOx, Sox, CO2, PM, HG) limit traditional generation, drive fuel types and maximization of DSM. (CAA)</i>	Participates in CCEF annual strategic plan for spending within statutory limits through membership on CCEF board.	Regulatory authority for emissions/discharges, materials management, wetlands/watercourses (Title 22a)  Member of Siting Council	Pass through of RGGI proceeds to implementing agencies and utilities.	
Energy Conservation		Participates in joint committee with	-	Includes minimal funding in conservation budget for renewable	

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Entity/Agency	Planning	Implementation			Pricing/Cost to Ratepayers
		Project Identification	Siting/Permitting	Financing/ Incentives	
Management Board (ECMB)		CCEF.		R&D	
Office of Policy and Management (OPM)	Participates in annual Procurement Plan through membership on CEAB	Procures power for state facility use that may include renewable component  Participates in CCEF annual strategic plan for spending within statutory limits through membership on CCEF board.	-	With CCEF, may consult with DPUC on DPUC's implementation of grants for Class I renewable resources in state facilities and businesses when bond funds available. [16a-38n]	-
Department of Environmental Protection (DEP)	Participates in annual Procurement Plan through membership on CEAB  Through regulations (pending), allocate proceeds from RGGI/CO2 emissions allowances auctions to various administering agencies and utilities for specific programs and purposes (PA 07-242)  <i>Indirect through implementation of RGGI. CO2 cap is de facto limit on traditional generation; drive plan to maximize DSR. (RGGI MOU; 22a-200c)</i>  <i>Indirect through ozone attainment planning. NOx and SOx budgets limit traditional generation, drive fuel types and maximization of DSM. (CAA)</i>	Participates in CCEF annual strategic plan for spending within statutory limits through membership on CCEF board.	Regulatory authority for emissions/discharges, materials management, wetlands/watercourses (Title 22a); siting council participation	Pass through of RGGI proceeds to implementing agencies and utilities.	
Department of Administrative Services				Implements tax exemptions for Class I renewable resources	
Department of Public Works	-	-	-	-	-
Department of Transportation	-	-	-	-	-
Department of Economic and Community Development	-	-	-	-	-